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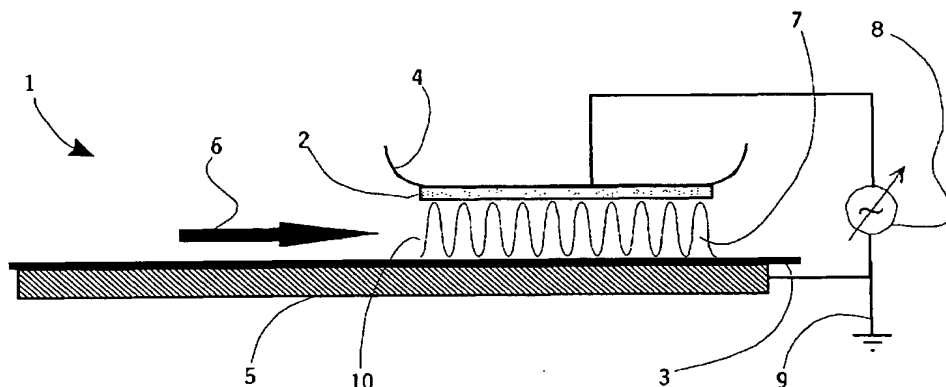
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(54) Title: METHOD AND ARRANGEMENT FOR GENERATING AN ATMOSPHERIC PRESSURE GLOW DISCHARGE PLASMA (APG)



(57) Abstract: Method and arrangement (1) for generating an atmospheric pressure glow plasma APG (7), wherein a plurality of electrodes (4, 5) are arranged defining a discharge space (10) for forming said plasma (7). The electrodes (4, 5) are connected to a power supply (8) providing an AC-voltage having a frequency of at least 50 kHz to the electrodes (4, 5). A gaseous substance (6) is provided in said discharge space and comprises at least one of a group of argon, nitrogen and air.

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Method and arrangement for generating an atmospheric pressure glow
discharge plasma (APG)

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Field of the Invention

The present invention generally relates to a method and
apparatus for generating atmospheric pressure glow discharge (APG) plasma
and the use thereof. In particular it is related to a method and
apparatus for generating an atmospheric glow discharge plasma (APG),
wherein a plurality of electrodes are arranged defining a discharge space
for forming said plasma.

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Background of the Invention

Modification or treatment of a surface by applying glow
plasma is a known technique in industries, such as photo film production
industry, used in order to improve certain surface and material
properties. For instance, in the production of photo film, a
thermoplastic polymer film (triacetyl cellulose (TAC),
polyethyleneterephthalate (PET), polyethylene-naphthalate (PEN) or
similar) is prepared using a glow plasma in order to improve adhesion
properties of the surface.

25

Plasma is considered generally as a suitable solution for
material processing, because it generates a large flux of reactive
species (radicals, ions), which can be directed to the process zone and
manipulated to the desired shape by using an appropriate electric field
distribution. Plasma treatment would have considerable advantage if it
could be generated at atmospheric pressure. Advantages of using
atmospheric pressure are a larger density of reactive species than in the

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low pressure case, and the advantage of avoiding vacuum technology.

Another desired feature of atmospheric pressure glow plasmas (APG) is the generation of these plasmas at low temperatures around 300-400 K. This will make the technology applicable to the treatment of thermoplastic polymer surfaces, as is common in photo film production methods.

Generating a plasma under the above circumstances is not a straightforward technique. At atmospheric pressure, the particle density is high and as a result the mean free path of reactive species is small. The processes of excitation and ionisation are restricted to a limited area, and the plasma is generated primary in a filamentary form.

Plasmas at atmospheric pressures are very unstable and will tend to go into a spark or an arc in short time after the breakdown. Any random local increase in a current density will tend to grow rather than to be damped and plasma will be constricted.

Generating a plasma requires the supply of sufficient energy to a gas such that the gas is ionised. Within the plasma, collisions and interactions between elements of the gas create chemically or physically active species, such as metastables, ions, electrons, and others. Recombinations and transitions of excited elements to their ground state also causes the emission of photons from the plasma.

For the plasma to be sustained, sufficient free electrons should be present in the plasma. One of the solutions for obtaining a homogenous atmospheric plasma is the generation of a background pre-ionization, implying that sufficient seed electrons must be present in the reactor before the plasma breakdown. These seed electrons can be created as described above, through interactions within the plasma itself or can be generated as a result of interactions between the species present in the plasma and the surface of the electrodes.

In general an APG plasma is created by applying an AC-voltage to a plurality of electrodes. A substrate to be treated, such as

a thermoplastic polymer film, may be transported along the surface of one or more of these electrodes. The frequency of the AC-voltage may be varied in order to improve the properties of the plasma.

5 The applicability of a plasma for use in material processing may be evaluated by determining the following parameters:

- the *power* coupled into the APG plasma calculated from the applied voltage and the plasma current;
- the *exposure non-uniformity parameter*, based on the statistical distribution of the exposure over the
10 surface;
- the *minimum time of exposure*, wherein 99% of the surface is exposed to a surface plasma energy dose of at least 1 J/cm² (statistical fluctuations of the exposure taken into account).

15 The abovementioned exposure non-uniformity parameter and minimum time of exposure will be described in more detail below.

In a number of situations and applications, such as in material processing in photo film industry, it will be advantageous to have the plasma treatment time as short as possible. The plasma exposure
20 time t is given by:

$$t = \frac{L}{v} \quad (1)$$

wherein L is the length of the plasma reactor and v the line speed.

A short time of exposure allows the use of high line speeds and of "medium" size of APG reactors ($L=10-20$ cm). The energy dose
25 required for reaching the maximum surface energy at the surface of the material to be treated may, as will be appreciated, depend on a number of factors, amongst which are the properties of the surface and the material. It is accepted that an atmospheric pressure glow plasma treatment with an energy dose of 1 J/cm² will be sufficient to reach the

maximum surface energy. However in order to get an acceptable adhesion between a certain type of polymer such as Polyethylene (PE) and gelatin an energy dose of between 50 and 100 mJ/cm² may already be sufficient.

The minimum time of exposure required for treating a material is given by:

$$t_{minim} = \frac{SE_{minim}}{P} \quad (2)$$

wherein P is the power consumed by plasma and S the active electrode surface, i.e. the part of electrode surface which is covered by plasma.

Since the plasma exposure is a stochastic process, whether or not a local part of the surface is exposed to the plasma is stochastic as well. This is represented by a stochastic parameter with an average value of P/S and with a certain dispersion from this average value. The minimum time of exposure is therefore determined by the requirement that with a certitude of 99% all elements of the surface are exposed to an energy dose of at least 1 J/cm². Depending on the plasma uniformity, the energy dose exposed to the surface may be considerable higher in some local sites at the surface.

Taking in account the statistical fluctuations of the exposure the minimum time of exposure is given by:

$$t_{minim} = \frac{SE_{minim}}{P \left(1 - 3 \frac{\sigma D}{D} \right)} \quad (3)$$

wherein D is the energy dose received locally at the surface and σD the statistical dispersion (the mean standard deviation from the average value). The statistical dispersion of the exposure is calculated later in this document.

Another quality criterium of the treatment process is the uniformity of the plasma exposure. In order to establish a high level of

uniformity it is required that fluctuations of the exposure are present only at microscopic scale (not detectable by the human eye: of the order of 100 μm or smaller). So with a certitude of 99%, any element of the surface of the material having a size of 10^{-4} cm^2 , must be exposed to an energy dose of at least 1 J/cm².

It is important to note that for streamer discharges, like a corona or a silent discharge, it is very difficult to meet the criteria mentioned above. The cause of it is believed to be the repulsive forces of streamer space charge and the drop of the electric field near a streamer plasma. As a result, this type of discharge can not cover all the material to be treated and gaps of a few millimetres exist between the streamer discharges.

For a single pulse the dispersion (average relative variation) of the exposure over a surface unit of 10^{-4} cm^2 is given by:

$$\frac{\sigma D_{sp}}{\bar{D}_{sp}} = \frac{\sigma(E_{pulse})}{E_{pulse}} \cdot \sqrt{\frac{S}{10^{-4}}} \quad (4)$$

wherein D_{sp} is the energy dose per unit of surface per pulse, S is the electrode surface in cm^2 and E_{pulse} is the energy delivered to the plasma by a single pulse. For obtaining equation (4) it was assumed that the value of the current density on each element of surface is statistically independent.

Assuming a Poisson statistical distribution of the exposure, the relative fluctuations of exposure for the exposure to N pulses will be:

$$\begin{aligned} \frac{\sigma D}{\bar{D}} &= \frac{\sigma(E_{pulse})}{E_{pulse}} \cdot \sqrt{\frac{S}{10^{-4}}} \cdot \frac{1}{\sqrt{N}} \\ \frac{\sigma D}{\bar{D}} &= \frac{1}{\sqrt{ft}} \frac{\sigma(E_{pulse})}{E_{pulse}} \cdot \sqrt{\frac{S}{10^{-4}}} \end{aligned} \quad (5)$$

herein f is the pulse frequency and $N = ft$ is the number of plasma pulses received by an element of the surface, during movement of a

material surface (for example a surface of a foil) through the APG reactor.

The value of the statistical fluctuation of the energy per pulse can be determined from the waveforms of the plasma current and the applied AC-voltage using 16 sample pulses. Hence

$$\sigma E_{pulse} = \sigma \left(\int I_{plasma}(t) U(t) dt \right) \quad (6)$$

wherein I_{plasma} is the plasma current and the U is the AC-voltage applied to the electrodes of the APG reactor.

Taking in account statistical fluctuations of exposure and equation (2), the minimum time of exposure is determined as follows:

$$\begin{aligned} \frac{P}{S} t_{minim} \left(1 - 3 \frac{\sigma D}{D} \right) &= 1 \text{ J/cm}^2 \\ \Downarrow \\ \frac{P}{S} \left[t_{minim} - 3 \sqrt{\frac{t_{minim}}{f}} \left(\frac{\sigma(E_{pulse})}{E_{pulse}} \cdot \sqrt{\frac{S}{10^{-4}}} \right) \right] &= 1 \text{ J/cm}^2 \quad (7) \\ \Downarrow \\ t_{minim} &= \left(F + \sqrt{F^2 + \frac{SE_{minim}}{P}} \right)^2 ; \quad F = \frac{3}{2} \sqrt{\frac{1}{f}} \left(\frac{\sigma(E_{pulse})}{E_{pulse}} \cdot \sqrt{\frac{S}{10^{-4}}} \right) \end{aligned}$$

Equations (5) and (7) clearly reveal the importance of a high frequency in order to reduce the time of exposure and to increase the uniformity. The minimum time of exposure, defined by equation (7), is strongly dependent on the requirements for the treatment of PEN, PET and PE for improvement of coating adhesion, and further depends on the minimum exposure and the size of surface on which significant non-uniformities are admissible.

A parameter which reflects the plasma uniformity and which can be used to compare atmospheric pressure glow (APG) plasmas for different working conditions and applications, is the variation of exposure over a surface of 1 cm² (after a single pulse exposure):

$$\delta D = \frac{\sigma D_1}{D_1} = \frac{\sigma(E_{pulse})}{E_{pulse}} \cdot \sqrt{\frac{S}{1}} \quad (8)$$

In the following δD (measured as a percentage) will be named exposure non-uniformity parameter hereinafter, and will be used as a plasma quality parameter together with the minimum time of exposure, as defined by equation (7).

The exposure uniformity may be checked by a toner test, visualising the charge distribution of charge on the surface.

In this case the ratio d between the size of the toner build-up spots and the distance between the toner build-up spots is:

$$d = \sqrt{\frac{S}{\pi}} \cdot \frac{\sigma(E_{pulse})}{E_{pulse}} \quad (9)$$

Several attempts have been made in order to generate a stable atmospheric glow plasma.

In US 6,299,948 there is disclosed a method for generating a uniform plasma through a proper combination between the AC voltage and the frequency in a nitrogen gas. The frequency range used is 200 Hz to 35 kHz, with a preference frequency below 15 kHz, and an amplitude of the applied voltage in the range between 5 to 30 kV.

However, processing of materials in plasma at higher frequencies is beneficial due to the increased number of discharges over time. Although the charge generated per pulse and the energy per pulse are only slightly changing with the frequency, when the operating frequency is increased the number of pulses per unit of time increases. Therefore the average power (energy released per unit of time) increases and the average current (charge released per unit of time) increases almost proportionally with the frequency. As a consequence, the material processing is faster than at lower frequencies and, as will be appreciated, this presents a clear benefit for the industrial process.

US 5,414,324 describes an apparatus and method for

generating a uniform atmospheric pressure glow discharge plasma in a frequency range of 1 to 100 kHz. The frequency ranges used in this document are based on the model of ion trapping, i.e. where mobility of the ions is so low that they will be trapped in the plasma gap whereas
5 the mobility of the electrons is sufficiently high and can reach the electrodes. A gas is present between the discharge electrodes, which gas at least comprises one of air, nitrous oxide or a noble gas such as argon, helium, neon, etc. The document however suggests that for higher frequencies the plasma becomes unstable and cannot be sustained anymore.

10 The application of helium as reaction gas at high frequency plasma generation has been performed more often. European patents EP 0 790 525, EP 0 821 273 and EP 0 699 954 disclose methods and arrangements for generating a glow plasma at high frequencies.

15 EP 0 790 525 discloses the generation of plasmas at 10 kHz in air and 40 kHz, 450 kHz and 13.56 MHz in He with small amounts of N₂ and/or O₂. The gas is purged through holes in the electrodes of the arrangement used. Measurements of the adhesion properties of plasma treated PET and PEN reveals that an operating frequency of 450 kHz is favourable to improve adhesion effectiveness. Both corona and plasma
20 treated samples are compared in these documents showing that plasma provides superior adhesion properties.

An improved embodiment in EP 0 821 273 describes the adhesion properties of plasma treated PEN, wherein the plasma is generated in (combinations of) helium and nitrogen as compared to air for
25 use as a reaction gas, at frequencies of 10 and 450 kHz. The arrangement used is a corona-like setup comprised of bare titanium parts positioned in parallel to a drum. The drum is covered with a silicone layer and a polymeric film is transported on the drum through the discharge space. The discharge space is filled with a gas essentially comprising helium
30 and the polymeric film is exposed to an atmospheric glow discharge. Some experiments were performed with a reaction gas essentially comprising N₂

at a frequency of 10 kHz.

In US 5,585,147 there is disclosed a method for treating the surface of a glass fabric with an atmospheric pressure glow discharge plasma for cleaning the surface, improving the adhesion properties thereof and coating the surface with a organosilane compound. The glass
5 fabric is treated with a plasma generated in a carrier gas which is essentially comprised of helium and/or argon, and which carrier gas is mixed with a reaction gas. In order to achieve the desired cleaning performance and adhesion properties, the gas mixture is preheated to
10 temperatures between 100°C and 600°C, before generating the plasma. These temperatures however make this plasma unsuitable for surface treatment of temperature sensitive substrates, such as thermoplastic polymer films.

The application of helium however in methods for generating APG plasmas at high frequency (> 50 kHz) of the AC-voltage, is not
15 favourable. Helium occurs in the atmosphere in a concentration of approximately 5 ppm (parts per million). Some natural gas deposits however have been found to contain significant amounts of helium and therefore most helium is obtained from these national gas deposits. Some of the deposits contain helium in a concentration above 0.3% by volume.
20 Most of the helium in the world comes from natural gas deposits found in Texas, Oklahoma, Kansas and The Rocky Mountains.

Helium is extracted from natural gas streams using a low temperature liquefaction process. This low temperature method separates crude helium - a mixture of more than 50% helium with nitrogen and small
25 amounts of other gasses - from the liquefied portion which consists predominantly of hydrocarbons. Several other techniques including pressure swing adsorption (PSA) and some cryogenic processes, are used to refine crude helium into a concentrated helium product. Helium is an expensive gas due to the fact that it is rare and difficult to extract.
30 It is mainly for this reason that the use of helium is preferably avoided in applications described above.

Another drawback of the application of helium is that due to the specific weight of helium (0.17 g/l), helium is very volatile. For this reason helium gas will always try to escape through the smallest holes of the arrangement. More countermeasures such as appropriate sealing and a more complex system for the gas injection means are required in arrangements using helium as a reaction gas.

Lastly, helium with an atomic weight of 4 will release a relatively small amount of energy in a collision with the surface to be treated. The use of larger, more heavy particles will exchange larger amounts of energy and will assist in the physical treatment of the surface. Therefore, in applications such as surface activation and etching, helium will not be the most favourite choice.

Further to this, heating of the carrier gas in the discharge space, such as is done in US 5,585,147, is not a preferred option for treating thermoplastic polymer films, such as is done in photo film production industry. The higher temperatures may damage the thermoplastic polymers, for instance due to melting thereof. On the other hand, it has been experienced that the temperature automatically rises to some extent due to energy dissipation.

20

Summary of the Invention

It is an object of the present invention to provide a cost effective method for generating a stable uniform low-temperature atmospheric pressure glow discharge plasma at high operating frequencies of the AC-voltage with outstanding surface treatment capabilities.

These and other objects of the invention are achieved by a method for generating an atmospheric pressure glow discharge plasma (APG), wherein a plurality of electrodes are arranged defining a discharge space for forming said plasma, wherein said electrodes are connected to a power supply and an AC-voltage is applied to said

electrodes, and wherein a gaseous substance is provided in said discharge space, wherein said AC-voltage applied to said electrodes has an amplitude equal to at least the breakdown voltage of said gaseous substance and has a frequency of at least 50 kHz, and said gaseous substance essentially comprises at least one of a group comprising argon, nitrogen and air.

The inventors have discovered that with an AC-voltage of a frequency of 50 kHz and above, by keeping the applied AC-voltage amplitude equal to at least the breakdown voltage (wherein the breakdown voltage is characteristic for each carrier gas used), dissipation of energy can be reduced substantially. Therefore, the temperature in the discharge space may be controlled. Another important finding of keeping the applied AC-voltage at the levels mentioned above is that the uniformity of the generated glow discharge plasma, as it is defined in equation (8), has been significantly improved. A decrease in the minimum exposure time as defined by equation (7) has also been found. The present invention provides thus, a low temperature in the discharge space, a short exposure time and a high level of uniformity of the APG. Hence, this invention can be applied in various process industries including those where thermoplastic polymers are involved having a low glass temperature (T_g), since thermal damage to the thermoplastic polymer can be prevented. As will be appreciated, the applied voltage must be sufficiently large in order to maintain a glow discharge plasma. But it has been found that the amplitude of the applied voltage should not be too high, at least not higher than 140% of the breakdown voltage of the applied gaseous substance.

In order to further control the temperature in the discharge space, the gaseous substance is kept at a temperature lower than 100°C. Preferably the gas is kept at room temperature, i.e. temperature between 20°C and 30°C.

In the case of a delicate polymer having a low critical

temperatures (e.g. low the glass transition temperature), we may further reduce the temperature applied to the polymer by reducing the residence time of the film in the discharge space. This may be achieved by moving the film through the discharge space with a certain velocity and adapting the velocity such that the desired residence time, for which the temperature of the film is kept such that the film remains intact, is achieved. Reducing the residence time (e.g. by adapting the velocity of the film) may be performed independent of reducing the AC-voltage amplitude as described above; either of these measures may be performed separately or both together.

An increase of the AC-voltage frequency yields an increasing build up of metastables, interacting with the electrodes and the plasma to improve plasma stability and density. Increase of the frequency furthermore yields build up of the number of active species contributing to the suppression of filamentary discharge. This behaviour has been established in argon, air, nitrogen and helium, however other gasses did not reveal this behaviour.

Argon, nitrogen and air are comprised of much larger and heavier atoms than helium (Ar 40, N₂ 28, air is a mixture of roughly 78% N₂, 20% O₂, 1% Ar and 1% H₂O and some other fractions) and the use of said gasses is preferred in applications for surface treatment, in the light of the above discussion. It will further be appreciated that the use of air, nitrogen or argon is a more cost effective solution than the use of helium. For this reason, the invention improves the applicability of AGP plasmas at high frequencies in a number of industrial processes.

Good results have been achieved in particular by providing an atmosphere rich of argon in the discharge space. It has been observed that a stable and uniform APG plasma can be achieved this way.

In an embodiment of the invention, the AC-voltage is reduced to levels of at most 140% of the breakdown voltage, after the plasma has been generated. A preferred range of the applied AC-voltage

after establishing the plasma is between 110% and 120% of the breakdown voltage.

In another embodiment the gaseous substance comprises, in addition to argon, at least one further gas such as one or more of a group comprising O₂, CO₂, NH₃, common precursor gasses such as SiH₄, hydrocarbons, organosilicons such as TEOS and HMDSO, or organo-metallics and combinations thereof. As was observed, in the presence of quantities of these gaseous chemical substances, a plasma can be sustained more easily. The carrier gas (comprised of either Ar, N₂, air or combinations thereof) may comprise up to 50% other gasses by volume, although good results can be achieved using concentrations of approximately 20% by volume.

A preferred embodiment of the present invention comprises at least the steps of essentially stabilizing the plasma, such that a homogeneous plasma is achieved in the presence of the carrier gas, and providing the further gasses mentioned above to the discharge space such that the concentration of said further gas is fractionally increased stepwise. Each step of fractionally increasing the concentration is followed by a step of stabilizing the plasma by adjusting the AC-voltage, until a stabile plasma at a desired concentration is achieved.

A stepwise fractional increase as mentioned may involve gas concentration increments of the concentration of further gasses, which increments are relatively small as compared to the finally desired concentration. For example, increasing the concentration to its maximum desired value in for instance 4 steps (of 25% of the total) or 10 steps (10% of the total) or even 20 steps (5% of the maximum desired concentration) are regarded as relatively small steps as compared to the finally desired concentration.

Defining the voltage rise time as the shortest time interval needed for the sine voltage to raise/decrease from zero voltage amplitude to maximum/minimum voltage amplitude, stabilising the plasma

may be achieved by adjusting the voltage characteristics such that the voltage rise time is in the range of 0.1 to 10 kV/ μ s. An optimum is reached with values in the range of 0.1 to 5 kV/ μ s.

It has also been observed that a stabile APG plasma can be easily achieved by keeping the plasma current density below 10 mA/cm².

The effects and benefits mentioned above may be enhanced in an embodiment where the discharge space is flowed through by said gaseous substance.

An embodiment of the present invention, directed to the treatment of a substrate film by a plasma may be achieved when at least one of the electrodes supports the substrate film to be treated. Said substrate film may be transported through the discharge space over the surface of the at least one electrode, exposing the substrate to the APG plasma discharges.

In yet another embodiment of the present invention at least one of the electrodes is covered with a dielectric material. It has been observed that the surface of the dielectric plays a decisive role in the stability and performance of the plasma.

Above-mentioned dielectric material is not necessarily of the same nature as the substrate film to be treated. The dielectric material may be coated on the surface of at least one of the electrodes in order to improve the behaviour of the APG-plasma.

It has further been observed that a method provided by the invention can be used under the circumstances (with reference to the operation parameters) presented in the table below:

Parameter	Description	Range	Optimum
U	AC-voltage amplitude	100% - 140% of breakdown voltage.	110% - 120% of the breakdown voltage

Parameter	Description	Range	Optimum
f	AC-voltage frequency	50 kHz - 1 MHz	100 - 700 kHz
$\Phi_{\text{vol, gas}}$	volumetric gas flux of gas flow	1 - 50 l/min	10 l/min
flow velocity		0.1-10 m/s	1 - 5 m/s
d_{gap}	gap distance between electrodes on opposite sides of the discharge space	100 - 5000 μm	250 - 1500 μm
d_e	thickness of dielectric material on at least one electrode	1 - 1000 μm	250 - 500 μm
concentration further gasses present in carrier gas	volumetric percentage	< 50%	approximately 20%
Voltage rise time	shortest time from zero to maximum/minimum voltage	0.1 - 10 kV/ μs	0.1 - 5 kV/ μs
Plasma current density		0-10 mA/cm ²	≤ 5 mA/cm ²

Note that for carrier gasses such as argon, nitrogen and air, the desired voltages are in the range of 1 - 6 kV.

In another aspect of the invention there is provided an arrangement for generating an atmospheric pressure glow discharge plasma (APG), comprising a plurality of electrodes arranged such that a discharge space is defined by said electrodes, further comprising means for applying an AC-voltage having a frequency of at least 50 kHz to said electrodes, and means for providing a gaseous substance to said discharge space, characterised in that said means for providing a gaseous substance to said discharge space are arranged for essentially providing at least one of a group comprising argon, nitrogen and air.

The above mentioned invention may be applied in various processes in industry, such as in surface activation processes wherein substrate can be glass, polymer, metal, etcetera (specific examples of this are modification of surface properties such as improving adhesion or creating hydrophobic or hydrophilic properties); in the chemical vapour deposition process where specific chemical compositions gasses such as SiH_4 , hydrocarbons, organosilicons (TEOS, HMDSO, etc.) or organo-metallics are usually involved; in deposition processes of polymers and in deposition processes for oxidic materials; in the surface cleaning processes of various substrates where sterilisation or dry cleaning purposes can be realised. Furthermore the invention may also be excellently applied below atmospheric pressures, such as between 100 mbar and 1 bar (atmospheric pressure).

The present invention will now be further elucidated by description and drawings referring to a preferred embodiment thereof, directed to the treatment of a substrate service with an APG. The invention is not limited to the embodiments disclosed, which are provided for explanatory purposes.

Brief Description of the Drawings

Fig. 1 is a schematic drawing of an arrangement for

carrying out a method according to the present invention;

fig. 2 is a drawing of an APG-plasma treatment device for treating substrate films;

5 fig. 3 shows a diagram of the dependency of plasma quality parameters in a plasma generated according to the present invention using Ar as the carrier gas;

fig. 4 shows a diagram of the dependency of plasma quality parameters in a plasma generated according to the present invention using N₂ as the carrier gas;

10 fig. 5 shows a diagram of the dependency of plasma quality parameters in a plasma generated according to the present invention using air as the carrier gas;

fig. 6 is a characteristic of the applied voltage and the plasma current in a plasma generated using a method or arrangement according to the present invention.

Detailed Description of the Drawings

20 In figure 1 an arrangement for generating plasma 1 comprises a dielectric 2 connected to a first electrode 4. A second electrode 5, arranged for carrying a substrate film 3, is arranged on the opposite side of a discharge space 10. A gas flow 6 may be established parallel to the surfaces of said dielectric 2 and substrate film 3. First electrode 4 has been connected to an adjustable AC power supply 8, arranged for providing an AC-voltage with a frequency larger than 50 kHz. 25 Second electrode 5 has been connected to said AC power supply 8 as well as to ground 9.

30 In a method according to the present invention, a gas flow 6 is established comprising at least one of a group of argon, air and nitrogen. For example, pure argon may be used as a carrier gas, or alternatively a mixture of argon and air or nitrogen and argon may be

used in arbitrary concentrations. The examples described hereinafter have been carried out with nitrogen, air and argon. Surprisingly, it has been observed that with a method according to the present invention, the presence of argon, air or nitrogen as a carrier gas has a positive
5 influence on the plasma stability and intensity.

After establishing a gas flow, the electrodes 4, 5 are energised by the adjustable AC power supply 8. Power supply 8 has been adjusted such that an AC voltage with an amplitude in the range of 3-6 kV, at most 40% higher than the breakdown voltage (optimally the
10 amplitude may be between 10% and 20% higher than the breakdown voltage), at a frequency in the range of 50 kHz - 1 MHz (optimum 100-700 kHz) is provided. Upon reaching a breakdown voltage, a plasma 7 will be generated between the dielectric 2 and the substrate film 3. Simultaneously, the substrate film 3 may be moved in any direction past the plasma in order
15 to treat said film 3 along its full surface.

The carrier gas may further comprise oxygen, carbon dioxide, NH_3 , common precursor gasses such as SiH_4 , hydrocarbons, organosilicons such as TEOS and HMDSO, or organo-metallics or any combination or mixture of these gasses. These gasses may be present in
20 the carrier gas from the beginning of the process. Good results have been achieved by adding the further gasses after a step of stabilising the plasma. This adding can be done best in fractional stepwise increments and by stabilising the plasma after each step until a desired concentration is established (for instance 20%).

25 A stepwise fractional increase as mentioned may involve gas concentration increments of the concentration of further gasses, which increments are relatively small as compared to the finally desired concentration. For example, increasing the concentration to its maximum desired value in for instance 4 steps (of 25% of the total) or 10 steps
30 (10% of the total) or even 20 steps (5% of the maximum desired concentration) are regarded as relatively small steps as compared to the

finally desired concentration.

Alternatively, the addition of chemically reactive gases to the carrier gas is done after a homogenous glow plasma was ignited in the carrier gas and operated for approximately 10-20 s. The concentration of the reactive gas added to the carrier gas is gradually increased in steps of a few percent of the concentration followed by a gradual increase of the voltage applied to the plasma with about 5-10% per step in order to maintain the plasma stable.

The dielectric 2 may comprise a material such as PET, PEN, PTFE or a ceramic such as silica or alumina. Any combination or mixture may be used as well. In photo film production industry, the substrate film 3 may be a support for photo sensitive layers, which is treated with an APG plasma first, in order to improve its adhesion properties before adding said photo sensitive layers. Said support may be any suitable polymer, but often materials such as PEN, PET, TAC, PE, or Polyolefin laminated paper will be used for this purpose.

Stabilising the plasma may be achieved by adjusting the voltage characteristics such that the voltage rise time is in the range of 0.1 to 10 kV/ μ s. An optimum is reached with values in the range of 0.1 to 5 kV/ μ s. The voltage rise time is dependent on the frequency and the amplitude of the AC-voltage and is influenced by the dielectric permeability of the dielectric 2 and the thickness of the dielectric material.

It has also been observed that a stabile APG plasma can be easily achieved by keeping the plasma current density below 10 mA/cm², especially at plasma current density equal to or lower than 5 mA/cm².

The distance between the dielectric 2 and the substrate film 3, forming the discharge space 10, may be in the range of 0.1 - 5 mm, with an optimum distance of 250 - 1500 μ m. The volumetric gas flux of gas flow 6 may be within the range of 1 l/min and 50 l/min, with an optimum around 10 l/min. The thickness of dielectric 2 may be in a

range of 1-1000 μm , with an optimum between 250 μm and 500 μm .

Figure 2 shows a device according to the present invention for treating a substrate film 3, such as a photo film. In this device electrodes 4 form the inside of a half circle around roll shaped drum electrode 5. The electrodes 4 are attached to an electrode holder 11. The drum shaped electrode 5 is a roll supporting a substrate film 3, which film 3 can be moved through a discharge space 10, formed by said electrodes 4 and 5, by a rotating motion of drum electrode 5. A dielectric coating 2 may be comprised on the electrodes 4 or, underneath the supported film 3, electrode 5. Note that only a few electrodes 4 are shown in figure 2. The electrodes 4 are connected to an output of adjustable power supply 8, while the other output of power supply 8 and drum electrode 5 by connection 36 are connected to ground 9.

Via support roll 13 the substrate film 3 is brought inside treatment chamber 20, and is lead over drum electrode 5 via supporting roll 12. After treatment, substrate film 3 will leave the treatment chamber 20 via supporting roll 14. In use, a plasma will be generated in discharge space 10 by energizing said electrodes with adjustable power supply 8. The surface of substrate film 3 is treated by said plasma as it is moved through said discharge space 10.

The treatment chamber further comprises a gas inlet 15, a gas outlet 16, and means 17 for establishing a gas flow 6 in the discharge space 10. A flexible wall 18 between inlet 15 and support roll 12 prevents the forming of a direct gas flow between inlet 15 and outlet 16. As a result, a gas flow 6 is forced to flow through discharge space 10.

Gas flow 6 essentially comprises at least one of a group of argon, air, nitrogen or combinations thereof. Additionally further gasses may be added to the gas flow 6, such as oxygen, carbon dioxide, NH_3 , common precursors used in chemical vapour deposition processes or any combination of these gasses. Preferably the amount of other gasses

present in the carrier gas does not exceed 50% by volume. One or more gas storage means 22 comprising argon, air or nitrogen (as an example a gas bottle comprising argon is shown in figure 2) is therefore connected to the gas inlet 15, via adjustable valve 34 and element 21 combining a plurality of gas flows as shown. Said further gasses, such as oxygen, carbon dioxide, NH_3 , common precursors, etcetera, mentioned above may be stored in a plurality of storage means 23 and added by element 24. Element 24, adding the further gasses used is connected to element 21 via adjustable valve 19, which valve 19 may be stepwise adjustable such that the concentration of further gasses can be increased or decreased stepwise. It will be appreciated that a continuously adjustable valve enables stepwise adjustment of the concentration as well. The use of a stepwise adjustable valve 19 is therefore preferred, but remains optional.

Stepwise adjustable valve enables a method as described herein-above, wherein the adding of further gasses is performed in fractional stepwise increments and by stabilising the plasma after each step until a desired concentration of further gasses is established. It will further be appreciated that the requirements for the valve 19 are determined by the magnitude of the fractional steps desired (some examples are given above).

One or more current choke coils (not shown) may be added to the arrangement in order to control the voltage during plasma breakdown.

The invention will further be described by describing a number of embodiments thereof, which embodiments have (amongst other embodiments) been tested in a laboratory environment.

Example 1

In a first example, an atmospheric pressure glow discharge plasma was generated by applying argon gas at room temperature with a velocity of 2 m/s into the discharge space at an amplitude of the applied

voltage of 1.4 kV. Both electrodes are covered with a dielectric material comprising of polyethylene-naphtalate and having a thickness of 100 micrometers. The gap distance between the electrodes (typically forming the discharge space) is 1 mm. An LC matching network is incorporated in the plasma arrangement. The results of the measurements are listed in the table below.

f [kHz]	P [W]	δD [%]	t_{\min} [s]
1	15	28	89
129	20	14	59
29	28	10	41
70	50	5	2
300	120	2	9

Figure 3 shows a diagram of the dependency of plasma quality parameters, as defined earlier in this document, on the frequency. The diagram shows the power P coupled into the APG plasma, the exposure non-uniformity parameter δD and the minimum time of exposure t_{\min} . The parameters are based on measurements of the AC-voltage applied and the plasma current and are the results of a comparative study of the properties of an argon (Ar) plasma at low and high frequency of the AC-voltage applied. The values are plotted on a double logarithmic scale.

The advantages of generating an APG plasma at high frequencies (> 50 kHz) are supported by the results shown in this diagram. The power density increases almost a factor 10 whereas the treatment time and non-uniformity parameter decrease a same order of magnitude. In addition (not shown in figure 3) it was discovered that large gaps (dimensions of the discharge space) are allowed without any destabilizing occurring in the plasma.

Example 2

In a second example, an atmospheric pressure glow discharge plasma was generated by applying nitrogen gas (N_2) with a velocity of 2 m/s into the discharge space at an amplitude of the applied voltage of 4.5 kV. Both electrodes are covered with a dielectric material comprising of polyethylenenaphtalate (PEN) and having a thickness of 100 μ m. The gap distance between the electrodes (typically forming the discharge space) is 1 mm. An LC matching network is incorporated in the plasma arrangement. The results of the measurements are listed in the table below and are presented in the graph in figure 4. Again the power P coupled into the APG plasma 28, the exposure non-uniformity parameter δD 29 and the minimum time of exposure t_{min} 30 are shown.

f [kHz]	P [W]	δD [%]	t_{min} [s]
129	42	39	46
30	45	28	36
70	78	18	2
282	220	7	6

20

Example 3

In a third example, an atmospheric pressure glow discharge plasma was generated by applying air with a velocity of 2 m/s into the discharge space at an amplitude of the applied voltage of 4.5 kV. Both electrodes are covered with a dielectric material comprising of polyethylenenaphtalate (PEN) and having a thickness of 100 μ m. The gap distance between the electrodes (typically forming the discharge space) is 1 mm. An LC matching network is incorporated in the plasma arrangement. The results of the measurements are listed in the table below and are presented in figure 5. Again the power P coupled into the APG plasma 31, the exposure non-uniformity parameter δD 32 and the

minimum time of exposure t_{\min} 33 are shown.

f [kHz]	P [W]	δD [%]	t_{\min} [s]
129	46	290	63
30	52	120	135
70	89	70	5
282	290	20	9

Example 4

As an example of a measurement at a frequency of approximately 280 kHz, figure 6 presents a diagram of the AC-voltage applied to the electrodes and the plasma current of a plasma generated using a method or arrangement according to the present invention. In this particular example, the carrier gas used was argon at a flow velocity of 2 m/s, the gap distance was 2 mm. The plasma current is shown by the solid line and the applied voltage by the dotted line.

The results of the above-mentioned experiments show a stable APG plasma can be generated in the presence of argon, nitrogen and air at high frequency. However the operation window for maintaining a uniform and stable plasma for the nitrogen and air gasses are rather limited. For argon gas said phenomena is surprisingly not observed. On the other hand, it is observed that at frequency ranges between 200 to 500 kHz, the plasma non-uniformity of the gasses are one order of magnitude smaller than those obtained in the frequency between 1 and 10 kHz. Said observation shows a clear benefit for generating APG plasmas at a higher frequencies, preferably at a frequency of at least 50 kHz. More preferable is a frequency in the range of 100 to 1 MHz, and most preferable in the range of 100 - 700 kHz.

The increased stability of plasma at high frequency is believed to be ascribed to the role of metastables providing the

pre-ionization which required for a glow plasma breakdown. Due to the frequency increase the interval between the discharge pulses decreases and consequently the density of metastables present in the discharge space from the previous discharge pulse increases.

5 Although the increase of frequency shows benefits with respect to the material processing efficiency, it is challenging to generate an atmospheric glow plasma at frequencies higher than 1 MHz. This can be attributed to the requirement that during the unstable state of plasma (the plasma breakdown) the voltage applied to the plasma must
10 decrease as fast as possible. This may be achieved by covering the electrodes with a dielectric (dielectric barrier discharge system, DBD). After the breakdown, the electric field generated by the plasma charge which is accumulating on the dielectric surface will decrease the voltage applied to the plasma.

15 When the frequency increases, the rise time of the applied voltage will also increase and also the duration of the transitional state during which the voltage applied to the plasma increases before being lowered by the field of the charge accumulated on the dielectric.

 Additionally, the maximum allowed plasma current with a DBD
20 system increases proportional with the frequency, so that at higher frequencies the current can be very high increasing the probability of the glow to an (unfavourable) arc transition.

 For the purpose of comprehensiveness, it is noted here that numerous modifications and variations of the present invention are
25 possible in the light of the above teachings. It is therefore understood that, within the scope of the amended claims, the invention may be practised otherwise than as specifically described herein.

Claims

1. Method for generating an atmospheric pressure glow discharge plasma (APG), wherein a plurality of electrodes are arranged
5 defining a discharge space for forming said plasma, wherein said electrodes are connected to a power supply and an AC-voltage is applied to said electrodes, and wherein a gaseous substance is provided in said discharge space, wherein said AC-voltage applied to said electrodes has an amplitude equal to at least the breakdown voltage of said gaseous
10 substance and has a frequency of at least 50 kHz, and said gaseous substance essentially comprises at least one of a group comprising argon, nitrogen and air.
2. Method according to claim 1, wherein said AC-voltage amplitude is less than or equal to approximately 140% of said breakdown
15 voltage.
3. Method according to claim 2, wherein said AC-voltage amplitude is between 110% and 120% of said breakdown voltage.
4. Method according to any of the previous claims, wherein the temperature of said gaseous substance is lower than 100°C.
- 20 5. Method according to any of the previous claims, wherein at least one further gas is provided to said gaseous substance in said discharge space.
6. Method according to claim 5, comprising at least the steps of:
25 providing said further gas to said discharge space after essentially stabilising said plasma such that the concentration of said further gas is fractionally increased stepwise; and stabilizing said plasma by adjusting said AC-voltage after each stepwise increment of said concentration of said further gas.
- 30 7. Method according to any of the claims 5 and 6, wherein said at least one further gas is provided to said gaseous substance in a

concentration of at most 50% by volume.

8. Method according to claim 7, wherein said concentration is at most 20% by volume.

9. Method according to any of the claims 5-8, wherein said at
5 least one further gas provided to said gaseous substance in said discharge space is comprised of at least one of a group of O_2 , CO_2 , NH_3 , common precursor gasses such as SiH_4 , hydrocarbons, organosilicons such as TEOS and HMDSO, or organo-metallics and combinations thereof.

10. Method according to any of the previous claims, wherein
10 said gaseous substance provided in said discharge space is flowed through said discharge space, establishing a gas flow.

11. Method according to claim 10, wherein said gas flow has a flow rate in a range of 1 l/min to 50 l/min.

12. Method according to any of the claims 10 and 11, wherein
15 the velocity of the gas flow is in the range of 0.1 - 10 m/s.

13. Method according to claim 12, wherein the velocity of the gas flow is in the range of 1 - 5 m/s.

14. Method according to any of the previous claims, wherein said AC-voltage is chosen to comprise a frequency less than 1 MHz.

20 15. Method according to claim 14, wherein said frequency of the AC-voltage is chosen within a range of 100 kHz to 700 kHz.

16. Method according to any of the previous claims used for treating a polymer film, wherein a residence time of said thermoplastic polymer film in said discharge space is chosen such that said
25 thermoplastic polymer film is kept at a temperature below said glass transition temperature of said thermoplastic polymer film.

17. Method according to claim 16, wherein said residence time is controlled by moving said film through said discharge space and controlling the velocity of said film.

30 18. Method according to any of the previous claims used for treating a polymer film, wherein the amplitude of said AC-voltage is

chosen such that the temperature of the discharge space remains below a glass transition temperature of said thermoplastic polymer film during treatment of said film and for maintaining said glow plasma.

19. Method according to any of the claims 16-18, wherein said
5 thermoplastic polymer film comprises at least one of a group comprising triacetyl cellulose (TAC), polyethyleneterephthalate (PET), polyethylene-naphthalate (PEN) and similar thermoplastic polymers.

20. Method according to any of the previous claims, wherein at
10 least one of said electrodes is covered with a film of dielectric material.

21. Method according to claim 20, wherein said film of
dielectric material is chosen comprising a thickness in a range of 1 μm to 1000 μm .

22. Method according to claim 21, wherein said thickness lies
15 within a range of 250 μm to 500 μm .

23. Method according to any of the previous claims, wherein at
least two of said electrodes are spaced apart from each other over a distance within a range of 100 μm to 5000 μm .

24. Method according to claim 23, wherein said distance is
20 chosen within a range of 250 μm to 1500 μm .

25. Method according to any of the previous claims, wherein a
voltage rise time defines a shortest time interval for said AC-voltage to reach its maximum value starting from zero, and wherein said voltage rise time of the AC-voltage is in the range of 0.1 to 10 $\text{kV}/\mu\text{s}$.

26. Method according to any of the previous claims, wherein
25 current density through said plasma is less than 10 mA/cm^2 .

27. Method according to any of the previous claims, used for
treating a substrate in said discharge space with a chemical vapour deposition process using said plasma.

30 28. Arrangement for generating an atmospheric pressure glow discharge plasma (APG), comprising a plurality of electrodes arranged

such that a discharge space is defined by said electrodes, further comprising means for applying an AC-voltage to said electrodes, and means for providing a gaseous substance to said discharge space, wherein said means for applying an AC-voltage to said electrodes are arranged for
5 applying an AC-voltage having an amplitude equal to at least a breakdown voltage of said gaseous substance and having a frequency of at least 50 kHz, and said means for providing a gaseous substance to said discharge space are arranged for essentially providing at least one of a group comprising argon, nitrogen and air having a temperature lower than
10 100°C.

29. Arrangement according to claim 28, wherein said means for applying an AC-voltage are arranged for providing an AC-voltage having amplitude up to 140% of said breakdown voltage.

30. Arrangement according to any of the claims 28 or 29, wherein said means for providing a gaseous substance are arranged for
15 providing at least one further gas to said gaseous substance in said discharge space.

31. Arrangement according to claim 30, wherein said means for providing a gaseous substance are further arranged for providing the at
20 least one further gas such that the concentration of said at least one further gas is stepwise adjustable.

32. Arrangement according to any of the claims 30 or 31, wherein said at least one further gas comprises one of a group of O_2 , CO_2 , NH_3 , common precursor gasses such as SiH_4 , hydrocarbons, organosilicons such as TEOS and HMDSO, or organo-metallics and
25 combinations thereof.

33. Arrangement according to any of the claims 28-31, comprising means for flowing said gaseous substance through said discharge space.

30 34. Arrangement according to claim 32, wherein said means for flowing said gaseous substance through said discharge space is arranged

for establishing a flow with a flow rate within a range of 1 l/min to 50 l/min.

35. Arrangement according to claim 34, wherein said means for flowing said gaseous substance through said discharge space is arranged
5 for establishing a flow with a flow velocity within a range of 0.1 - 10 m/s.

36. Arrangement according to any of the claims 28-35, wherein said means for applying a high frequency AC-voltage is arranged for applying a voltage comprising a frequency within a range of 50 kHz to
10 1 MHz.

37. Arrangement according to any of the claims 28-36, wherein at least one of said electrodes is arranged for supporting a thermoplastic polymer film to be treated by said plasma.

38. Arrangement according to any of the claims 37, further
15 comprising means arranged for moving said thermoplastic polymer film through said discharge space with a velocity for which the residence time of said film is such that the film is kept at a temperature below said glass transition temperature of said thermoplastic polymer film.

39. Arrangement according to any of the claims 37 or 38,
20 wherein said means for applying an AC-voltage are arranged for providing an AC-voltage having an amplitude such that the temperature of the discharge space remains below a glass transition temperature of said thermoplastic polymer film during treatment of said film.

40. Arrangement according to any of the claims 28-39,
25 comprising a film of dielectric material contiguous to at least one of said electrodes.

41. Arrangement according to claim 40, wherein said film of dielectric material comprises a thickness in a range of 1 μm to 1000 μm .

42. Arrangement according to any of the claims 28-41, wherein
30 said discharge space comprises dimensions defined by a spacing between said electrodes and said dimensions are within a range of 0.1 mm to 5 mm.

43. Arrangement according to any of the claims 28-42, arranged for adjusting the shortest time interval for said AC-voltage to reach its maximum value starting from zero, and wherein said adjusting can be performed at least in a range of 0.1 to 10 kV/ μ s.
- 5 44. Arrangement according to any of the claims 28-43, arranged for adjusting the current density through said plasma in a range below 10 mA/cm².
45. Arrangement according to any of the claims 28-44, comprising a current choke coil arranged for stabilising said plasma.
- 10 46. Arrangement according to any of the previous claims, said arrangement being arranged for performing a chemical vapour deposition treatment process on a substrate in said discharge space using said plasma.

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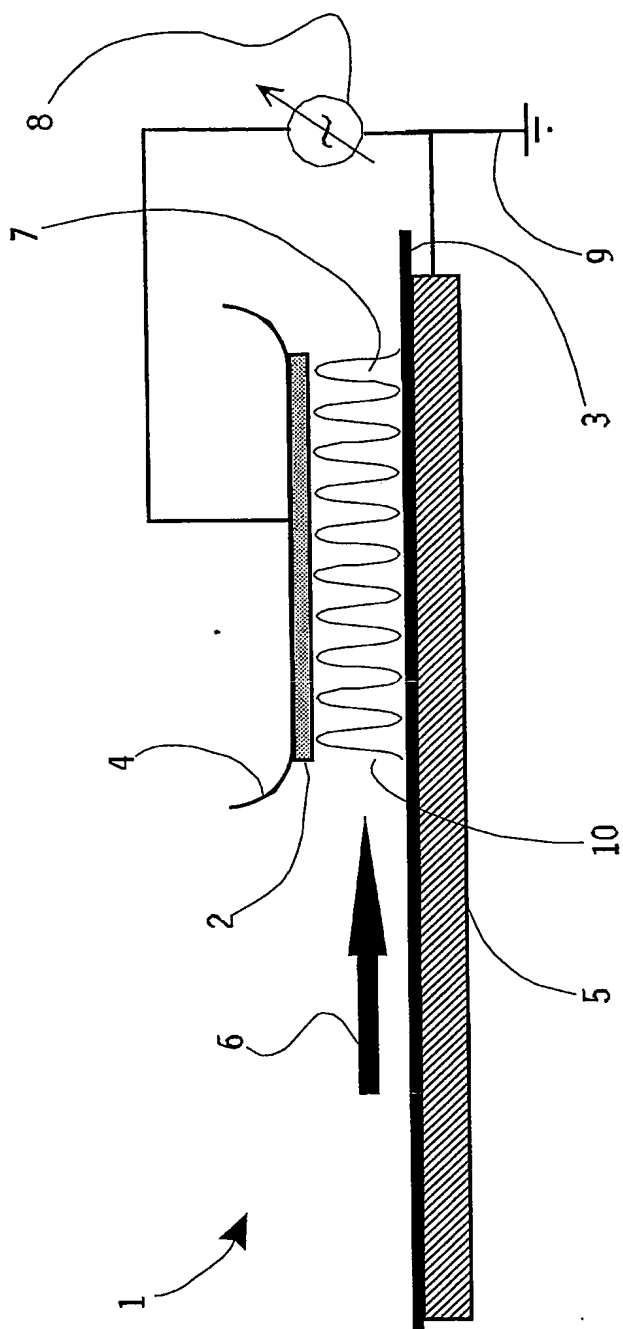


FIG. 1

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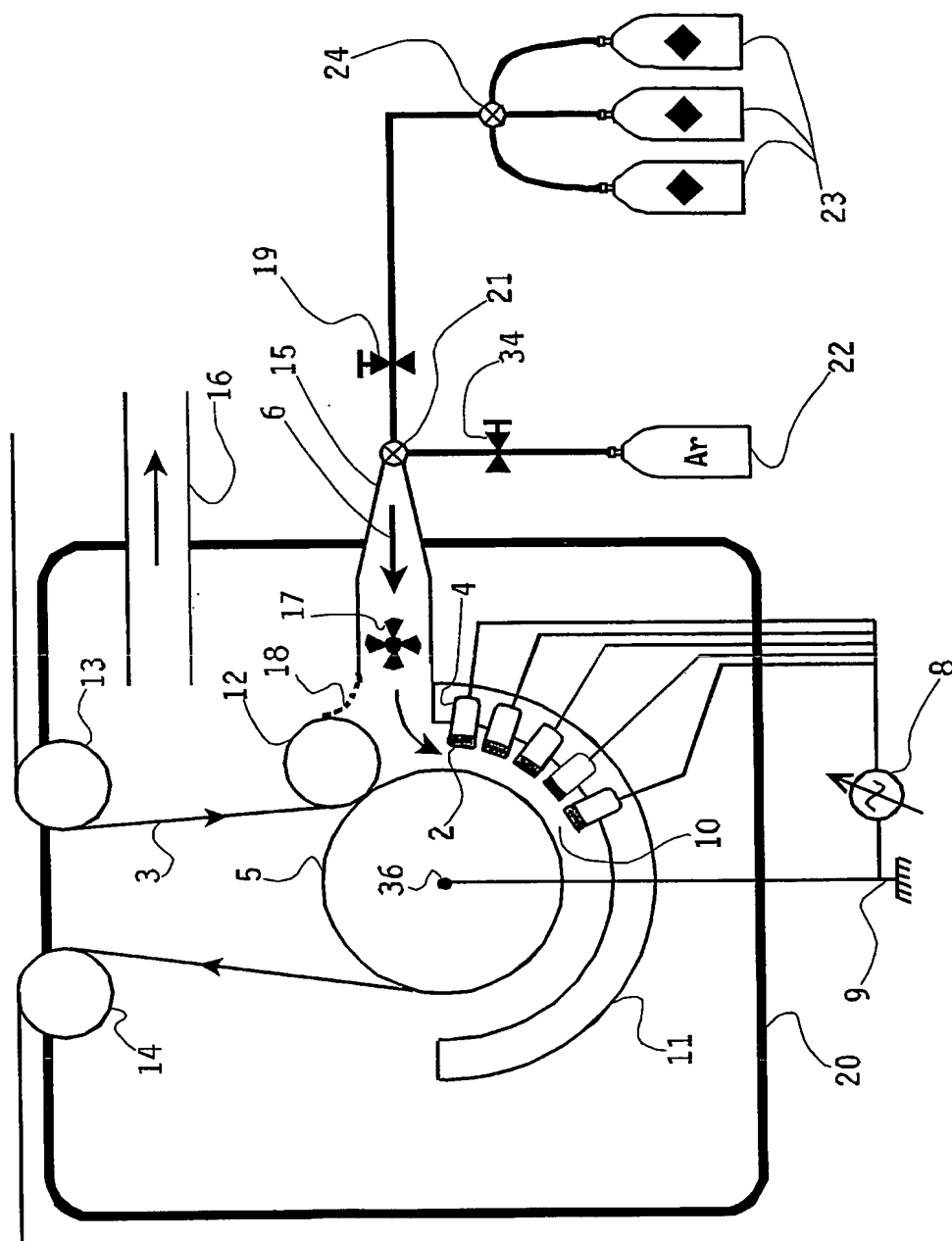
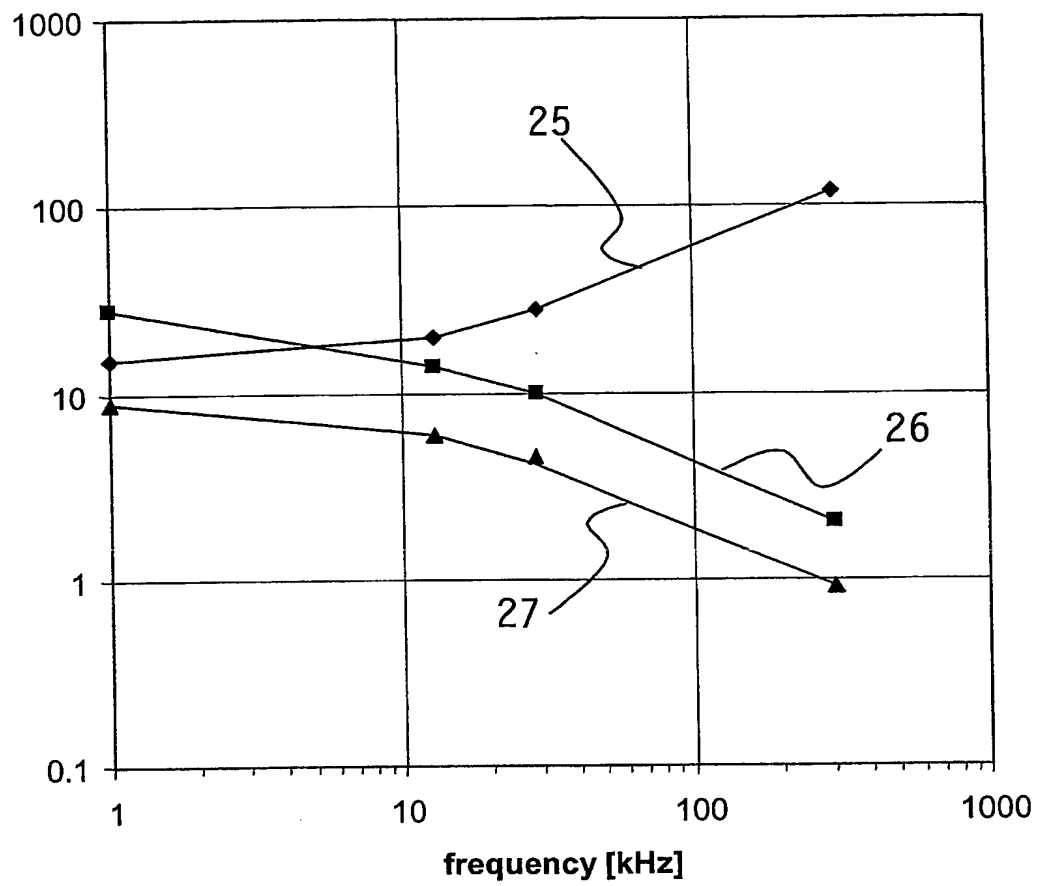


FIG. 2

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Carrier gas: Ar

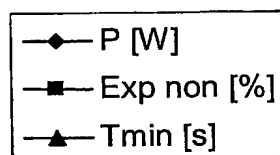


FIG. 3

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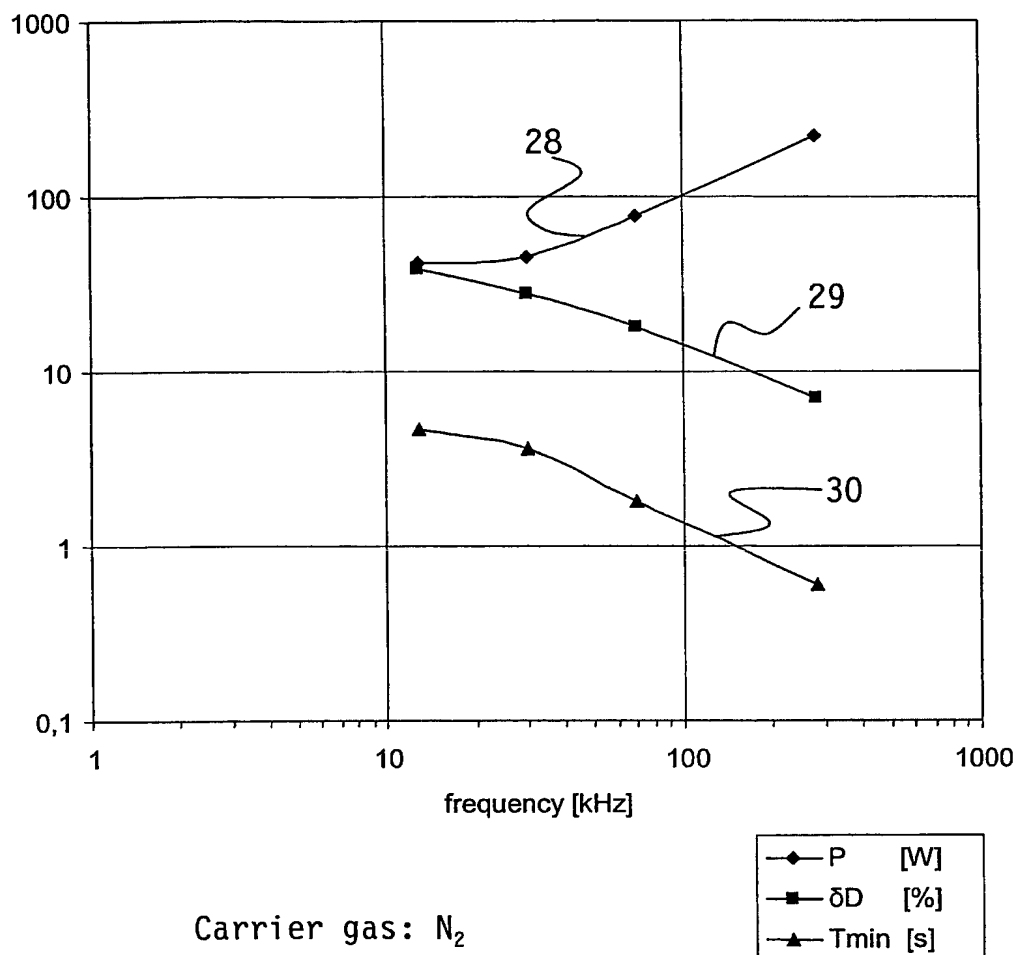


FIG. 4

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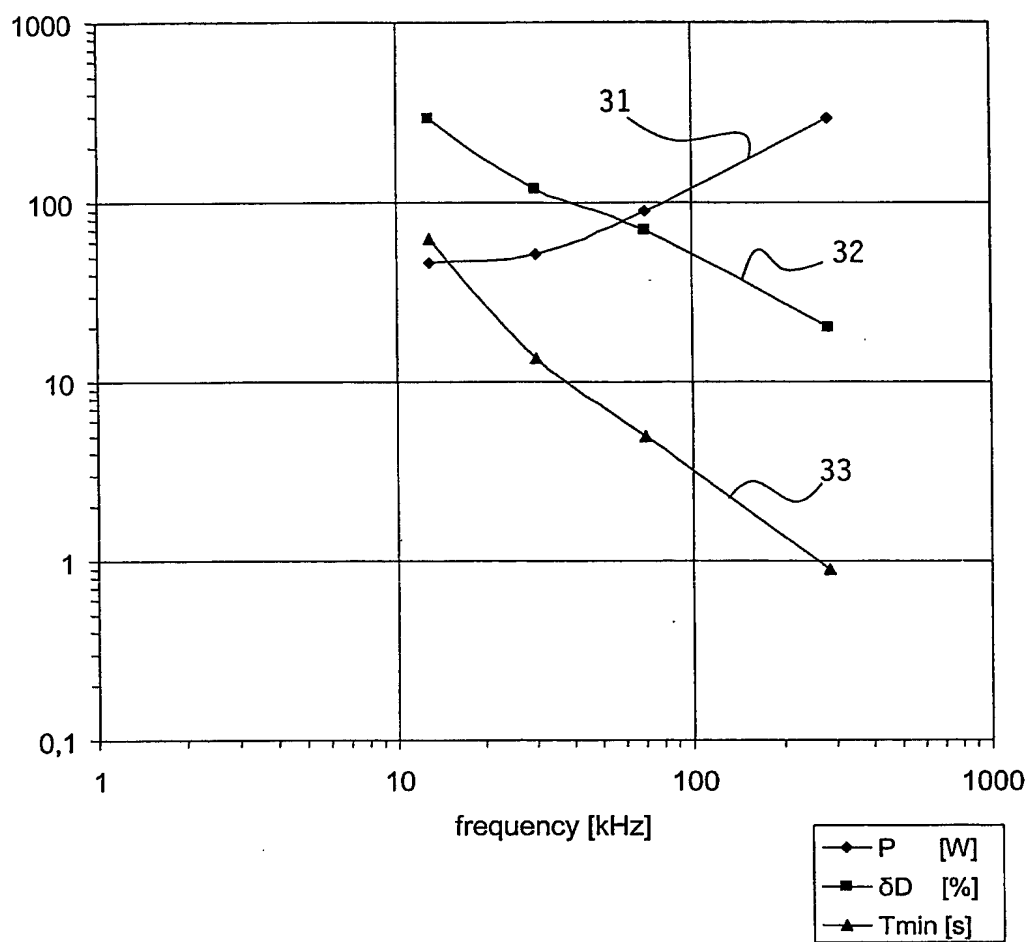


FIG. 5

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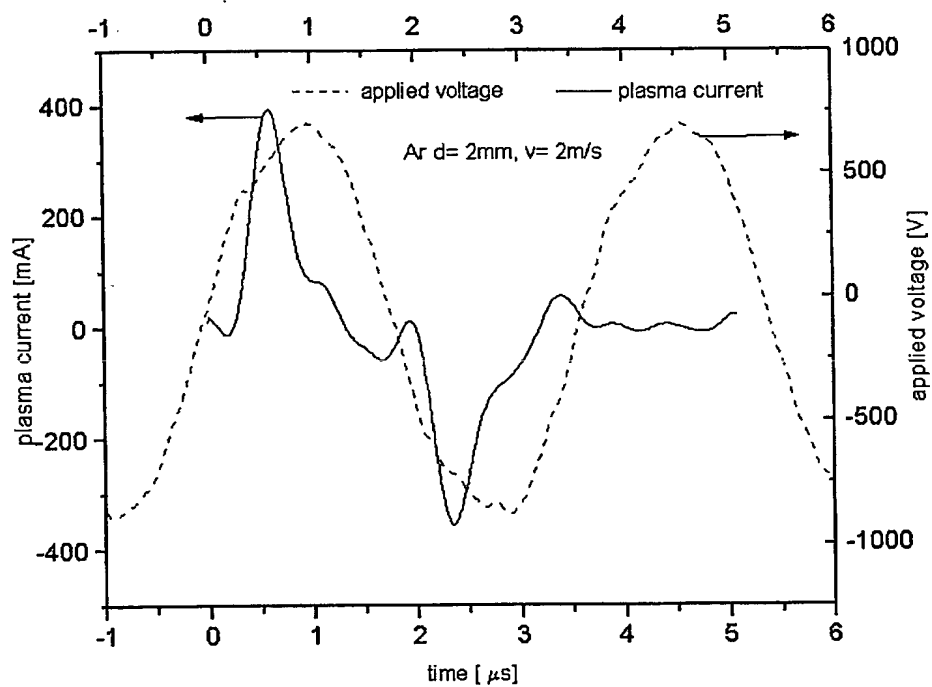


FIG. 6

INTERNATIONAL SEARCH REPORT

International Application No
PCT/EP 03/10923

A. CLASSIFICATION OF SUBJECT MATTER IPC 7 H01J37/32 B05D3/14 H05H1/46		
According to International Patent Classification (IPC) or to both national classification and IPC		
B. FIELDS SEARCHED Minimum documentation searched (classification system followed by classification symbols) IPC 7 H01J B05D H05H C03C		
Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched		
Electronic data base consulted during the international search (name of data base and, where practical, search terms used) WPI Data, PAJ, EPO-Internal		
C. DOCUMENTS CONSIDERED TO BE RELEVANT		
Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	US 5 585 147 A (OGAWA SATORU ET AL) 17 December 1996 (1996-12-17) cited in the application column 3, line 20 -column 5, line 37; figure 1; examples	1,5, 7-13,20, 28,30, 32-35,40
A	US 5 414 324 A (ROTH JOHN R ET AL) 9 May 1995 (1995-05-09) cited in the application abstract; figures	1,28
A	EP 0 821 273 A (EASTMAN KODAK CO) 28 January 1998 (1998-01-28) cited in the application abstract; figure 1	1,28
-/--		
<input checked="" type="checkbox"/> Further documents are listed in the continuation of box C. <input checked="" type="checkbox"/> Patent family members are listed in annex.		
* Special categories of cited documents : *A* document defining the general state of the art which is not considered to be of particular relevance *E* earlier document but published on or after the international filing date *L* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified) *O* document referring to an oral disclosure, use, exhibition or other means *P* document published prior to the international filing date but later than the priority date claimed *T* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention *X* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone *Y* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art. *&* document member of the same patent family		
Date of the actual completion of the international search 23 January 2004		Date of mailing of the international search report 29/01/2004
Name and mailing address of the ISA European Patent Office, P.B. 5811, Astenlaan 2 NL - 2280 HV Rijswijk Tel. (+31-70) 340-2040, Tx. 31 651 cpo nl, Fax: (+31-70) 340-3016		Authorized officer Schaub, G

INTERNATIONAL SEARCH REPORT

International Application No
PCT/EP 03/10923

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT		
Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
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